

Form PTO-1390

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER  
TOR-022

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO.

09/830215

INTERNATIONAL APPLICATION NO.  
PCT/JP00/05692

INTERNATIONAL FILING DATE  
August 24, 2000

PRIORITY DATE CLAIMED  
August 25, 1999

TITLE OF INVENTION  
BIAXIALLY ORIENTED FILM AND MAGNETIC RECORDING MEDIUM

DATE  
April 24, 2001

APPLICANT(S) FOR DO/EO/US  
Akira KUBOTA, Shoji NAKAJIMA, Yukari NAKAMORI and Tetsuya TSUNEKAWA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
  3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
  4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☒ has been transmitted by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)) (78 pages).
  7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ have been transmitted by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☒ have not been made and will not be made.
  8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
  10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. ☒ A FIRST preliminary amendment.  
☐ A SECOND preliminary amendment.
  14. ☐ A substitute specification.
  15. ☐ A change of power of attorney and/or address letter.
  16. ☒ Other items or information:
    - a. PCT/RO/101 (with English translation)
    - b. PCT/IB/301
    - c. PCT/IB/304
    - d. PCT/IB/308
    - e. PCT/ISA/210
    - f. WO 01/14462

U.S. Application No. <div style="font-size: 1.5em; font-weight: bold;">09/830215</div>		International Application No. PCT/JP00/05692		Attorney's Docket No. TOR-022	
				CALCULATIONS	PTO USE ONLY
17. [XX] The following fees are submitted:  Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO . . . . . \$860.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) \$690.00  No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) . . . \$700.00  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . \$1,000.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) . . . \$100.00  <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				\$	860.00
Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	32 - 20	12	x \$ 18.00	\$	216.00
Indep. claims	2 - 3		x \$ 80.00	\$	0.00
Multiple dependent claim(s) (if applicable)			+ \$ 270.00	\$	0.00
TOTAL OF ABOVE CALCULATIONS =				\$	1,076.00
Reduction by 1/2 for filing by small entity, if applicable. (Note 37 CFR 1.27 & 1.28).				\$	0.00
SUB TOTAL =				\$	1,076.00
Processing fee \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	0.00
TOTAL NATIONAL FEE =				\$	1,076.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	40.00
TOTAL FEES ENCLOSED =				\$	1,116.00
				Amount to be refunded:	\$
				charged	\$
<p>a. [XX] Check in the amount of <u>\$1116.00</u> to cover the above fees are enclosed.</p> <p>b. [ ] Please charge my Deposit Account No. <u>111833</u> in the amount of \$_____ to cover the above fees.</p> <p>c. [XX] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>111833</u>.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to review (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.</p> <div style="display: flex; justify-content: space-between; margin-top: 20px;"> <div style="width: 60%;"> <p>SEND ALL CORRESPONDENCE TO:</p> <p>KUBOVCIK &amp; KUBOVCIK            The Farragut Building            Suite 710            900 17th Street, N.W.            Washington, D.C. 20006</p> </div> <div style="width: 35%; text-align: right;"> <p>Signature  <u>Keiko Tanaka Kubovcik</u>            Name  <u>40,428</u>            Registration Number  <u>April 24, 2001</u>            Date</p> </div> </div>					